

ANIMAL CARE AND USE PROTOCOL National Laboratory Animal Center Animal Care and Use Committee (NLAC-ACUC)

COVER SHEET

Protocol Number	
Received by IACUC (dd/mm/yy)	This section will be
Approved/Request Modification (dd/mm/yy)	completed by the
Resubmitted (dd/mm/yy)	NLAC-ACUC
Approved/Disapproved by IACUC (dd/mm/yy)	
Approved/Disapproved by IO (dd/mm/yy)	
Expiration Date (dd/mm/yy)	

Protocol title:

(Thai)
(English)
If this protocol is a part of the Main Project, please provide the Main Project Title:
(Thai)
(English)
Funding Source(s):
Grant has been: Submitted
Approved. If approved, duration of approval
Anticipated Protocol Period: From
Type of Animal Protocol
[] Research: In the Field of
[] Testing/Monitoring (please specify)
[] Teaching: Course Title/Level
[] Biological Production: (please specify)
[] Animal Breeding (please specify)
[] Other (please specify)

Principal investigator: Nam	e	
Position:		Department
Faculty/Institute		
	Tel	Fax.
	F-mail	
* A • I - I•		
^ Animal use license	no	Expired date
Co- investigator: Name		
Position:		Department
Faculty/Institute		
	Tel	Fax.
	E-mail	
* Animal use license	no	Expired date
Co- investigator: Name		
-		Department
Faculty/Institute		
	Tel	Fax
	E-mail	
* Animal use license	no	Expired date
Contact Person in Case of	Emergen	юсу:
Office/Affiliation:		
Phone:		E-mail:

*Issued by Institute of Animal for Scientific Purposes Development

Your signature as P.I., Co-investigator on this application verifies that the information herein is true and correct and that you are familiar with and will comply with standard of animal care and use established under the ethical guidelines and policies of the Mahidol University and Office of the National Research Council of Thailand (NRCT) and the animal for scientific purpose act., B.E. 2558

Principal investigator: Name		
	(Signature)	(Date)
Co- investigator: Name		
	(Signature)	(Date)
Co- investigator: Name		
-	(Signature)	(Date)
Statistical Review: Name		
	(Signature)	(Date)
Safety Review: Name		
	(Signature)	(Date)
Attending Veterinarian: Name		
* Animal use license no	Expired date	
* * Veterinary practitioner license	noExpired date	

(Signature)

(Date)

*Issued by Institute of Animal for Scientific Purposes Development ** Issued by The Veterinary Council of Thailand

Head of Department : Name

(Signature)

.....

(Date)

Faculty/Institute:

Approval

NLAC-ACUC Review:

Approved Approval recommended Disapproved

.....

(Chair, NLAC-ACUC Signature, Date)

NLAC-MU STANDARDIZED RESEARCH PROTOCOL FORMAT FOR PERMISSION OF ANIMAL CARE AND USE

1. Non-technical summary: (Provide a brief description of the project expressing its significance and needs for undertaking the study).

2. Rationale and literature review: ((Include a brief statement of the requirement for the information being sought. Typically, the literature or the experience that led to the proposal will be briefly reviewed, references cited will be provided.)

.....

3. Literature Search for Duplication: (*This search must be performed to prevent unnecessary duplication of previous experiments.*)

- 3.1 Literature Source(s) Searched: (database name)
- 3.2 Date of Search:
- 3.3 Period of Search:
- 3.4 Key Words used in Search: _____
- **3.5** Results of Search: Provide a narrative description of the results of the literature search

4. Objective(s): (Provide goal/specific aim of this project)

5. Experimental design: (Provide a complete description of what will be done to the animals. Succinctly outline the formal scientific plan and direction for experimentation, sequential description of procedures what will be done to the animals from obtain the animal to the end of study. A diagram or chart may be helpful to explain complex design)

6. Data analysis and statistical method: (List the statistical test(s) planned or describe the strategy intended to evaluate the data).

5

7. Use of Non-Pharmaceutical Grade (NPG) Compound:

- 🗆 No
- **D** Yes

If **yes**, please answer the following questions:

Number of NPG compounds that are used in this protocol

Name of NPG	Situations	Type of	Dose	Route of	Frequency of	Duration of
compound	(A, B, C, D, E;	vehicle		administration	administration	administration
	see detail below	used				
	the table)					

Situations

A. Used as a test item

B. No equivalent veterinary or human pharmaceutical is available

C. Although an equivalent veterinary or human pharmaceutical is available, dilution or change in formulation is required

D. The available veterinary or human pharmaceutical is not concentrated enough to meet experimental requirements

E. The available veterinary or human pharmaceutical does not meet the non-toxic vehicle requirements for the specified route of administration

Name of NPG	Describe composition, purity, sterility, pH, stability, formulation, of the
compound	chemical or substance, etc.

Description of NPG compound

8. Animal model and species justification:

8.1 Description of animals						
Common name	Genus and Species	Strain/ Stock	Age	Weight	Sex	Number
Special consideration: (List specialized requirements for the research animals, e.g. certain antibody or						
virus free, Pasteurella free, etc.)						

Source/Vendor:

8.2 Scientific justification for animal species and number requested.

8.2.1 Animal model and Species justification: (Provide a scientific justification for the choice of animal model(s). What physiological and morphological characteristics does this animal possess that make it the best possible model?).

..... 8.2.2 Number of animals required: (Provide an explanation of how the numbers of animals to be used in each group or total were appropriate. Number of animals used in the experiment should be based on scientific and statistical requirements to achieve objectives).

9. Animal care:

9.1 Husbandry consideration: (Briefly describe animal housing and living conditions, routine animal observations, feed and water provisions, etc.).

9.1.1 Study location: (Study room where the animals will be housed)

9.1.2 Housing system:

Clean conventional Strict hygienic conventional □ Isolator maintained Barrier maintained

Laminar flow

Other, please specify.....

9.1.3	Caging:				
	□ Solid bottom	n, open top	□ Static filtered top cages		
	Suspended G	cages, wire bottom	Metabolic cages		
	Individual ventilated cage (IVC)				
	🛛 Other, pleas	e specify			
	Reason, plea	se justify			
9.1.4	Cage size, W x L x	H, (inch)			
9.1.5 (Caging materials				
	□ Plastic	□ Stainless steel			
	Other, please	e specify			
9.1.6	Number of animation	als per cage			
	[] social housi	ng, please justify			
	-	ng, please justify			
9.1.7		•			
	Light:	Standard fluores			
		└ Other, please sp	ecify		
		_			
	Light cycle:	└ Standard 12:12 (light: dark)		
		└ Other, please sp	ecify		
9.1.8	Feed:				
	Type of feed:	Standard diet (NI	_AC supply)		
		\Box Other, please sp	ecify		
	Laboratory testing	g 🛛 Microbio	blogical test by NLAC		
		🗖 Other, p	lease specify		
	Feeding schedul	e:			
		□ Routine feeding	(Ad libitum)		
		□ Other, please sp	ecify		
9.1.9	Water:				
	Type of water	Chlorinated wate	er ppm.		
		🛛 RO water			
		Other, please sp	ecify		
	Laboratory testing		blogical test by NLAC		
		_	e test by NLAC		
		_	lease specify		
		ι Other, μ	Acase specily		

Provision of water:	
	🗖 Routine feeding (Ad libitum)
	Other, please specify
9.1.10 Bedding:	
	□ No
	\square Yes, please specify sterility \square Sterile \square Non-sterile
	Other, please specify
Laboratory testing	\Box Microbiological test by NLAC
	Other, please specify
Type of bedding:	
U Woo	d shaving \Box Water hyacinth and corn cob
🗖 Pape	r 🛛 Other, please specify
Schedule of bedding	changing:
	kly \Box At specified interval, everyday(s)
Spec	ial need required, please justify
□No, p	lease specify
🗖 Othe	r, please specify
9.1.11 Environmental Er	nrichment:
	please justify
☐ Yes	
Type of Enrichme	_
	Contact, please specify
	Non-Contact, please specify
	Physical Enrichment, please specify
	Supplement Enrichment, please specify
	Other, please specify
	ended to conduct the animal experiment in other building? (This is
allowea for conau must be less than	cting experiment (s) only not for housing. In addition, the holding period 12 hours)
	Yes
If yes , please provide	information below:
1. Where the exper	iment is expected to be conducted? <u>Please provide the building</u>
name and room	number.
2. Please provide th	ne <u>animal experimental procedures in detail</u> .

3. Estimated total <u>time period that live animals will be kept</u> in the laboratory is......hours

.....

4. How will the animal sample or carcass be disposed?

10. Veterinary medical care: (Describe the routine veterinary care. List the criteria used for health evaluation while the animals are on study).

11. Animal welfare:	
11.1 Does the prop	posed research duplicate any previous work?
🗖 No	
C Yes	5
If yes , explain why	it is scientifically necessary to duplicate the experiment.
11.2 Replacement.	reduction and refinement. (Briefly describe how you have considered each of
	atives (the 3Rs) or why they are not applicable).
	Replacement of animals (e.g., with in vitro models, computer models or less
sentient animals):	
11.2.2	Reduction in the number of animals (e.g., using appropriate statistical methods
	nalysis of the study; reduction in experimental variability by using animals of
-	nicrobiological status.):
	Refinement of experimental procedures to minimize pain or distress (e.g.,
	of analgesics, anesthetics or sedatives; techniques that reduce stress in the
	al pain and distress assessment: ndicate pain category according to USDA Pain and Distress. (Please read
Appendix A)	
• •	nber of animals: - Category B
2, 10	- Category C
	- Category D
	- Category E
2) 0~5	
	in relief/Prevention
11.3.2 During t	ne study.

1) How often will the clinical condition of animals be monitored?				
2) Who will monitor the clinical condition of the animals?				
11.3.3 Are the animals expected to experience any specific study-induced or related problems (i.e. health problems, pain, distress, complications, etc.) or any health problems as a result the phenotype of the animal?				
□ No				
□ Yes				
If yes , please answer the following questions:				
1) Describe the expected problems				
2) What criteria(s) will be used to assess pain, distress, or discomfort?				
Check all that apply:				
Loss of appetite				
Loss of weight 5% 10 % 15% 20% weight loss				
\square Restlessness				
Abnormal resting postures, somnolence or hunched posture				
Licking, biting, scratching, or shaking a particular area				
Failure to show normal patterns of inquisitiveness				
☐ Failure to groom, causing and unkempt appearance				
Guarding (protecting the painful area)				
\square Loss of mobility				
\square Red stain around the eyes of rats				
Self-mutilation				
Labored breathing				
 Other (please list) 				
11.3.4 Literature Search for Alternative to procedure that cause pain & distress				
11.3.4.1 Literature Source(s) Searched:				
11.3.4.2 Date of Search:				
11.3.4.3 Period of Search:				
11.3.4.4 Key Words of Search:				
11.3.4.5 Results of Search:				

11.4 Anesthesia				
🗖 No				
Tes Yes				
If yes , please answer the fo	llowing questions:			
1) Preanesthetic preparation:				
2) Type of anesthesia u	ısed:			
3) Dose:				
4) Route of administrat	ion:			
. ,				
-				
	-	?		
		e system for scavenging waste		
•				
9) What criteria(s) will be	e used to assess level of	anesthesia?		
Check all that apply:				
Respiration rate	Body temperature	Heart rate		
ECG	□ Toe pinch	🗖 Tail pinch		
Corneal reflex	Pedal reflex	\Box Muscular relaxation		
Color of mucous me	embrane			
☐ Other (pulse oximete	er, respirometer) please li	st		
10)How animals are kep	ot warm?			
11.5 Analgesics and/or tranquilizers:				
🗖 No				
Tes Yes				
If yes , please specify				
1) Type of analgesic	s used			
2) Dose				
3) Route of administ	ration			
11.6 Describe post-anesthetic t	reatment or interventior	n:		
12. Surgery:				
□ No				
Tes Yes				
If yes , please answer the followings:				
12.1 Surgical procedure is:	Non-survival	Survival		
	Major			
	🗖 One time	🗖 Multiple		
12.2 Location : Give the location	1/room number for the pr	oposed surgical procedure.		

12.3 Surgeon/qualification: Indicate who will perform the surgery, and his/her qualifications, training, or experience in the proposed procedure.

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12.4 Procedure: Describe in detail the surgical procedure.

12.5 Pre- and post-operative provision: Detail the provision for both pre-and post-operative care, including provisions for post-surgical observation.

12.6 Describe long-term care of chronic survival procedure.

12.7 Multiple survival surgery procedures: Multiple major operative procedures on the same animal must be adequately justified for scientific reasons by the principal investigator in writing. 12.7.1 Procedure:

.....

12.7.3 Who will be the responsible for post-surgical care and treatment?

13. Blood or body fluid withdrawal/tissue collection/injections, tail clip, gavaging

Describe in detail: method(s), needle size(s), volume(s) collected or administered, and frequency of

	Anatomic location	Needle size/ catheter size and length	Biopsy size	Volume collected ()	Volume administered ()	Frequency (times per day) *
Blood withdrawal						
Body Fluid withdrawal						
Tissue collection						
Injection/ infusion						
Tail clip						
Gavaging						
Other						

more details are available, please describe.

14. Restraint with	mechanical devices:	

	No
_	

🛛 Yes

If yes, describe device, duration of restraint, frequency of observation, conditioning procedures and steps to assure comfort and well-being.

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.....

If prolonged restraint is used, must provide justification:

15. Project involving feed and water deprivation, or dietary manipulation:

🛛 No

🗆 Yes

If **yes**, describe methodology. State objective criteria used to assess physical condition and pain, discomfort, stress, and distress during the course of study. Include clinical signs or manifestations expected from the procedure. What criteria will be used to determine a humane endpoint before severe morbidity and death?

 \Box Individual animal's weight is monitored every days.

Individual animal's weight is not monitored.

	Amount restricted/added	Duration	Compound supplemented	Compound deleted	Frequency
Feed restriction					
Fluid restriction					
Nutrient alterations					

16. Tumor and disease models, toxicity testing:





If **yes**, describe methodology used for tumor/disease and/or toxicity testing. State objective criteria used to assess physical condition and pain, discomfort, stress, and distress during the course of study, including clinical signs or manifestations expected from the procedure. What criteria will be used to determine a humane endpoint before severe morbidity and death?

17. Behavioral studies:

□ No □ Yes

If **yes**, describe in detail types of behavioral manipulations, including placement in testing chambers or apparatus, use of aversive stimuli, duration of test periods, and frequency of test periods.

18. Euthanasia / Disposition of animals
18.1 Disposal of animals after completion of activity:
Euthanatized
□ Return to production/breeding unit/facility inventory
\Box Transfer to another research project:
– Protocol No and investigator
Other (Please describe)
18.2 Euthanasia method
Anesthetic overdose, please list
Drugs used for euthanasia
Dose
Route of administration
Cervical dislocation Decapitation
\square CO ₂ Chamber
Other (Please describe)
18.3 Location/place of euthanasia
Necropsy Room at Laboratory Analysis unit
Euthanasia Area at Laboratory Animal Production Division
Other (Please specify)
18.4 Death confirmation / State how death will be verified before disposal:
·
19. Study endpoint: (State the project study endpoint for the animals. Indicate whether recovery,
euthanasia, or death is/are expected; specific plan for determining when the animal experimentation
phase will be stopped).
Early endpoint is used (the animals are humanely euthanized prior to the expected terminate
study day: 🛛 Yes 🗋 No
Early endpoint criteria used are:
Loss of appetite
\Box Loss of weight \Box 5% \Box 10 % \Box 15% \Box 20% weight loss
\square Restlessness
\square Abnormal resting postures, somnolence or hunched posture

	\square Licking, biting, scratching, or shaking a particular area
	\Box Failure to show normal patterns of inquisitiveness
	\square Failure to groom, causing and unkempt appearance
	\Box Guarding (protecting the painful area)
	\Box Loss of mobility
	\Box Red stain around the eyes of rats
	□ Self-mutilation
	\Box Labored breathing
	Other (please list)
20. Necropsy/ S	Selected tissue and sample collection
	□ No
	Tyes, please describe.
	Location:
	Who will do it, and what is their experience in the technique used? :
	Who will do it, and what is their experience in the technique used? : Personnel protective equipment (PPE):
21. Animal tiss	Personnel protective equipment (PPE):
21. Animal tisse	Personnel protective equipment (PPE):
	Personnel protective equipment (PPE):
	Personnel protective equipment (PPE):
	Personnel protective equipment (PPE): Other please specify Use and carcasses disposal: Describe method used to dispose animal tissue and Keep refrigerated until ready to send to the incinerator.
	Personnel protective equipment (PPE): Other please specify ue and carcasses disposal: Describe method used to dispose animal tissue and Keep refrigerated until ready to send to the incinerator. Other, please specify
carcasses.	Personnel protective equipment (PPE): Other please specify ue and carcasses disposal: Describe method used to dispose animal tissue and Keep refrigerated until ready to send to the incinerator. Other, please specify

specify
\Box Recombination agent(s) is/are used: specify
□ None
22.1 Provide a list of any potential biohazards associated with this protocol. Specify biosafety
level.
ABSL 1 ABSL 2 ABSL 3 ABSL 4
22.2 Explain any safety precaution or program designed to protect personnel from biohazard and any surveillance procedure in place to monitor potential exposure.
22.3 Explain how the waste is decontaminated and disposed.
22.4 List primary safety equipment and personnel protective equipment requirements.
Others, please specify
22.5 List procedures if any accident, injury or illness occurs.
22.6 List specific treatment provision for accidental exposure.
22.7 List relevant occupational medical health provision

23. Qualification of personnel:

List all individuals who will be involved in this protocol. If personnel do not have experience in working with animals, state how they will be trained

Name	Responsibilities	Description of relevant experience or training

As Principal investigator on this protocol, I verifies that the information herein is true and correct and that I am familiar with and will comply with standard of animal care and use established under the ethical guidelines and policies of NLAC-ACUC, and Office of the National Research Council of Thailand (NRCT). Additionally, I acknowledge my responsibilities and provide assurances for the followings:

A. Animal use: The animals authorized for use in this protocol will be used only in the activities and in the manner described herein, unless a deviation is specifically approved by the NLAC-ACUC.

B. Duplication of effort: I have made a reasonable, good faith effort to ensure that this protocol is not an unnecessary duplication of previous experiments.

C. Statistical assurance: I assure that I have consulted with qualified statistician to evaluate the statistical design or strategy of this proposal, and that the minimum number of animals needed for scientific validity are used.

D. Biohazard/safety: I have taken into consideration, and I have made the proper coordinations regarding all applicable rules and regulations concerning radiation protection, biosafety, recombinant issues, etc., in the preparation of this protocol.

E. Training: I verify that the personnel performing the animal procedures/manipulations described in this protocol are technically competent and have been properly trained to ensure that no unnecessary pain or distress will be caused as a result of the procedures/manipulations.

F. Responsibility: I acknowledge the inherent moral and administrative obligations associated with the performance of this animal use protocol, and I assure that all individuals associated with this project will demonstrate a concern for the health, comfort, welfare, and well-being of the research animals. Additionally, I pledge to conduct this study in the responsibility for implementing animal use alternatives where feasible, and conducting humane and lawful research.

G. Scientific review: This proposed animal use protocol has received appropriate peer scientific review, and is consistent with good scientific research practice.

H. Research studies: This protocol **IS** or **IS NOT** (circle one) associated with a grant application. If yes, I certify that this protocol is essentially the same as the study found in the grant application or program/project. The NLAC-ACUC and the funding agency will be notified of any changes in the proposed project, or personnel, relative to this application. I will not proceed with animal experiment until approval

by the NLAC-ACUC is granted.

.....

(Signature)

Date

(Principal investigator)

USDA Pain Levels:				
USDA Category B	USDA Category C	USDA Category D	USDA Category E	
Breeding or Holding Colony Protocols	No more than momentary or slight pain or distress and no use of pain-relieving drugs, or no pain or distress. For example: euthanatized for tissues; just observed under normal conditions; positive reward projects; routine procedures; injections; and blood sampling.	Pain or distress appropriately relieved with anesthetics, analgesics and/or tranquilizer drugs or other methods for relieving pain or distress.	Pain or distress or potential pain or distress that is <u>not</u> relieved with anesthetics, analgesics and/or tranquilizer drugs or other methods for relieving pain or distress.	
	Examples	Examples	Examples	
	 Holding or weighing animals in teaching or research activities. Injections, blood collection or catheter implantation via superficial vessels. Tattooing animals. Ear punching of rodents. Routine physical examinations. Observation of animal behavior. Feeding studies, which do not result in clinical health problems. AVMA approved humane euthanasia procedures. Routine agricultural husbandry procedures. Live trapping. Positive reward projects. 	 Diagnostic procedures such as laparoscopy or needle biopsies. Non-survival surgical procedures. Survival surgical procedures. Post-operative pain or distress. Ocular blood collection in mice. Terminal cardiac blood collection. Any post procedural outcome resulting in evident pain, discomfort or distress such as that associated with decreased appetite/ activity level, adverse reactions, to touch, open skin lesions, abscesses, lameness, conjunctivitis, corneal edema and photophobia. Exposure of blood vessels for catheter implantation. Exsanguination under anesthesia. Induced infections or antibody production with appropriate anesthesia and post-op/post- procedure analgesia when necessary. 	 Toxicological or microbiological testing, cancer research or infectious disease research that requires continuation until clinical symptoms are evident or death occurs. Ocular or skin irritancy testing. Feed or water deprivation beyond that necessary for ordinary presurgical preparation. Application of noxious stimuli such as electrical shock if the animal cannot avoid/escape the stimuli and/or it is severe enough to cause injury or more than momentary pain or distress. Infliction of burns or trauma. Prolonged restraint. Any procedures for which needed analgesics, tranquilizers, sedatives, or anesthetics must be withheld for justifiable study purposes. Use of paralyzing or immobilizing drugs for restraint. Exposure to abnormal or extreme environmental conditions. Psychotic-like behavior suggesting a painful or distressful status. Euthanasia by procedures not approved by the AVMA. 	

<u>Appendix A</u>

(Note: there is no USDA Category A.)

Guidelines for determining USDA classification in protocols involving tissue collection before/after euthanasia and/or animal perfusion:

If an animal will be euthanatized by an approved physical or chemical method of euthanasia solely for the collection of tissues (after the animal's death), the procedure should be classified as USDA C.

If an animal will be anesthetized so that non-vital tissues can be collected (liver or skin biopsy), and the animal will then be allowed to recover, the procedure should be classified as USDA D (survival surgery).

If an animal will be anesthetized so that non-vital tissues can be collected (liver or skin biopsy, etc.); and the animal will then be euthanatized, the procedure should be classified as USDA D (non-survival surgery). In this scenario, it is necessary to justify why the animal couldn't be euthanatized (USDA category C) rather than anesthetized.

If an animal will be anesthetized so that vital tissues can be collected (heart, both kidneys or lungs, whole liver, etc.), the animal will obviously succumb to the procedure. To determine whether this will be euthanasia or non-survival surgery, we must consider the definition of euthanasia. A critical component of this definition is "rapid unconsciousness followed by loss of cardiac, respiratory and brain function". Based on this definition, procedures which require tissue manipulation or other prolonged techniques prior to the animals death (more than a few minutes) should be classified as non-survival surgery (USDA D). Similarly, if an animal will be anesthetized so that the tissue can be collected in the "freshest" possible state (i.e. heart) and the tissues will be rapidly excised, the procedure should be classified as euthanasia (USDA C). (Note: In this scenario, it is difficult to justify why the animal couldn't be euthanatized rather than anesthetized.)

If an animal will be anesthetized so that it can be chemically perfused, the same "test of time" applies (i.e.: long, technical manipulations should be classified as USDA D; while rapid intravascular injection of the perfusate without other manipulations should be classified as USDA C).

NOTE: Because the USDA classification system is based on the "potential for pain, distress or discomfort," the anesthetic/euthanasia drug dose becomes a critical concern. For example, if a known "euthanasia dose" of pentobarbital will be administered, drug irreversibility is assumed. Thus, once the animal is confirmed to be in an anesthetic plane (toe pinch response, etc.), tissues can be collected/ procedures can be performed without the concern about what the animal will be perceiving. This procedure would then be classified as USDA C. The Committee recommends using a euthanizing dose whenever possible. Other methods may be appropriate with proper scientific justification.